IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

S. COURTNEY E. COLLIER,

Plaintiff

v. : Civil Action

SEI INVESTMENTS COMPANY, TODD: CIPPERMAN, EDWARD LOUGHLIN, : RICHARD LIEB, KEVIN JOHNSTON, :

KEVIN PORING and MARK NAGEI

KEVIN ROBINS and MARK NAGEL,

No. 02-3574

Defendants

MEMORANDUM OF LAW IN SUPPORT OF PLAINTIFF'S RESPONSE TO DEFENDANTS' MOTION IN LIMINE

I. INTRODUCTION

The present action presents a race and gender based discrimination and retaliation claims for declaratory, injunctive, monetary and other appropriate relief and is brought by Plaintiff, S. Courtney E. Collier, Esquire ("Plaintiff" or "Collier") against her former employer, SEI Investments Company ("SEI"), a multi-billion dollar investment services company, and Todd Cipperman ("Cipperman"), Edward Loughlin ("Loughlin"), Richard Lieb ("Lieb"), Kevin Johnston ("Johnston"), Kevin Robins ("Robins") and Mark Nagel ("Nagel") (hereinafter collectively "Defendants"), several of SEI's executives who aided and abetted her employers actions, pursuant to Title VII of the Civil Rights Act of 1964, 42 U.S.C. §§ 2000(e), et seq., as amended by the Civil Rights Act of 1991 at 42 U.S.C. § 1981(a) (hereinafter "Title VII") the Civil Rights Act of 1866, 42 U.S.C. § 1981 ("§ 1981") and the Pennsylvania Human Relations Act, 43 P.S. § 951 et seq. ("PHRA"). It is alleged that as a result of her race and gender, Collier was subjected to discriminatory and disparate

treatment while employed as an attorney in the legal department at SEI and was discriminatorily removed from her position as an attorney, demoted to a position of Vendor Manager and not hired/promoted to a another position more suitable to her qualifications. It is also averred that Collier had averred that she was adversely treated and ultimately terminated in retaliation for her asserting internal and then external complaints about racially-based and gender-based discriminatory employment practices at SEI.

On or about May 19, 2003, defendants filed a Motion for Summary Judgment. Plaintiff filed her response in opposition to that Motion on June 5, 2003. That Motion is pending disposition by this Court. Defendants now seek to have evidence regarding (1) allegations by current or former employees of SEI that SEI discriminated against them, (2) a confidential compensation analysis performed by SEI in 1999, (3) evidence relating to the composition of SEI's Vision Task Force and the invitees to SEI's 2001 Leadership Club, and (4) evidence, including a photograph, relating to the theme of a costume event held during SEI's 2001 Leadership Club excluded from admission at the trial, claiming that all of this evidence is not relevant to the issues in plaintiff's case and that the probative value of the evidence is outweighed by its potential prejudicial effect. Plaintiff opposes defendant's Motion on the basis that the compensation analysis provides evidence that plaintiff was treated less favorably than the Caucasian male attorneys, and that the additional evidence defendant seeks to exclude is probative of both a pattern and practice of race and gender discrimination at SEI and of a corporate culture which permits such discrimination to be tolerated.

II. DISCUSSION

A. Legal Standards

Federal Rule of Evidence 402 states:

All relevant evidence is admissible, except as otherwise provided by the Constitution of the United States, by Act of Congress, by these rules, or by other rules prescribed by the Supreme Court pursuant to statutory authority. Evidence which is not relevant is not admissible.

Federal Rule of Evidence 403 allows evidence to be excluded only if its probative value is **substantially** outweighed by the danger of unfair prejudice, a confusion of the issues, or a misleading the jury.

B. Evidence Regarding The Discriminatory Treatment Of Other Employees Is Relevant To Demonstrate A Pattern And Practice Of Discrimination

Defendant first seeks to preclude evidence regarding discriminatory treatment suffered by other female and African American employees of SEI claiming that such evidence is not probative of plaintiff's individual claims of disparate treatment and because it is unfairly prejudicial. In support of this argument, defendants cite and rely on a 20 year old decision issued from the United States District Court for the Eastern District of Pennsylvania in the pattern and practice case of *Moorhouse v. Boeing Co.*, 501 F.Supp. 390 (E.D.Pa.), *aff'd mem*, 639 F.2d 774 (3d Cir. 1980), and cases cited therein.

Defendants' reliance on *Moorhouse* and the other cases they cite is misplaced in light of subsequent decisions of the Third Circuit Court of Appeals, including *West v. Philadelphia Electric Company*, 45 F.3d 744 (3d Cir. 1995), *Abrams v. Lightolier*, 50 F.3d 1024 (3d Cir. 1995), and *Aman v. Cort Furniture Rental Corporation*, 85 F.3d 1074,

1085 (3d Cir. 1996), all of which recognize that evidence of prior acts of discrimination is properly admitted to show the employer's motive.

An argument identical to that asserted by defendant was raised in Abrams. wherein the Court of Appeals affirmed the decision of the trial court which allowed the testimony of five other older former Lightolier employees who alleged that they too had been discriminated against on the basis of their age. Addressing the issues in identical fashion to the defendant herein, the company claimed that allowing this testimony was error, citing F.R.E. 401 and F.R.E. 403 and the Moorhouse decision. The Third Circuit declined to cite as error this action of the court below based on its conclusion that the evidence was offered not to show a pattern and practice of age discrimination, but to show age animus on the part of the decision makers. Abrams v. Lightolier, supra., 50 F.3d at 1215, n. 12. In addition, the Court specifically rejected Lightolier's argument that the testimony was overly prejudicial because it "created the possibility that the jury would find against the employer on the basis of these accusations without finding that it had discriminated against Abrams." Id.

In the present matter, plaintiff intends to present evidence regarding the treatment of other African American and female employees to show race and gender animus on the part of the decision makers in this matter. As in Abrams, West and Aman, such evidence is properly admissible to show the decision makers' motive and is not unduly prejudicial. Therefore, defendant's Motion to preclude evidence regarding

the fact of and circumstances surrounding the treatment of other African American and female employees of SEI should be denied.1

The Information In The 1999 Confidential Compensation C. Analysis Is Probative To Show That Plaintiff's Compensation Was Substantially Lower Than That Of The Caucasian Male Attorneys With Whom She Worked

Defendants also seek the exclusion of a confidential compensation analysis performed by SEI in 1999 which shows that plaintiff's salary was over \$33,000.00 lower than the average salary of the Caucasian attorneys with whom she worked on the basis that the analysis is not probative of intentional race discrimination.2 Defendant also bases its argument on the fallacious assertion that plaintiff admitted she was compensated fairly during her employment with SEI. Defendants' arguments misrepresent plaintiff's testimony and misapprehend plaintiff's purpose in seeking to introduce the compensation analysis.

Plaintiff intends to introduce the compensation analysis into evidence to demonstrate that she was treated less favorably than the Caucasian attorneys in her department. As such, that document is direct evidence of how her salary compared to that of her Caucasian counterparts and will not be offered as "statistical evidence" for the purpose of establishing an inference of race discrimination, but as direct evidence that her compensation was substantially lower than that of her Caucasian counterparts

¹Plaintiff anticipates presenting this evidence through her own testimony regarding treatment of other employees which she witnessed. In addition, plaintiff intends to introduce the testimony of another former SEI employee, Dierdre Callahan, who will testify about the disparate treatment of female employees at SEI generally as well as the discriminatory treatment of plaintiff as witnessed by Ms. Callahan.

²The copy of the compensation analysis attached to defendants' Motion is not the one plaintiff intends to submit as evidence. Plaintiff has marked as a trial exhibit and intends to introduce the compensation analysis which is attached to Plaintiff's Counter-statement of Undisputed Facts as Exhibit "K" (attached hereto as Exhibit "A"). That document clearly identifies plaintiff and her salary as well as her department, job title and the race, gender, job title and salary of each of the other individuals in her department.

and that the disparity shown in that regard was typical of the wage treatment accorded to the minorities.

In addition, defendants incorrectly assert that plaintiff admits she was fairly compensated. What plaintiff admitted in response to Defendants' Request for Admissions is that when she was hired at SEI her "salary was appropriate, in light of her skills and prior experience." She was not asked nor did she admit that she believed her salary to be fair when compared to that of the Caucasian attorneys in the department. Therefore, the compensation analysis is directly relevant to her disparate treatment claims.

Contrary to defendants' assertions, the compensation analysis presents direct evidence that plaintiff was treated less favorably than the Caucasian attorneys in her department. Therefore, it is relevant to plaintiff's claims and defendants' request that plaintiff be precluded from introducing the compensation analysis at trial should be denied.

Evidence Relating To the Composition Of SEI's Vision D. Task Force And The Composition Of The List Of Invitees to SEI's Leadership Club is Relevant To Demonstrate The Exclusion Of Minorities From Leadership Positions And From Prestigious Perquisites Provided To **Caucasian Employees Of The Company**

Defendants seek to preclude the introduction of evidence regarding: 1) the composition of SEI's Vision Task Force, a group of employees enlisted to formulate the direction and procedure for a major restructuring SEI implemented in 2000 and 2001; and 2) the composition of the list of invitees to SEI's 2001 Leadership Club, a paid vacation trip for selected employees and their families which is viewed as a significant perquisite and recognition of outstanding service. The asserted basis for defendants'

request is that this evidence is not probative of the issues presented in this case. It is respectfully suggested that defendants' argument simply misrepresents the nature of the evidence plaintiff proposes to introduce as well as the issues in plaintiff case.

Defendants characterize the lists of employees in SEI's Vision Task Force and invitees to the Leadership Club as demonstrating that "a disproportionately low number of minority employees were invited to participate in SEI's Vision Task Force and SEI's Leadership Club." Defendant's Motion In Limine, ¶3. In fact, the list of members in the SEI Vision Task Force demonstrates that every member of that Task Force was Caucasian, and that minority employees were not merely "under-represented," they were excluded completely. Similarly, of two hundred twenty-two invitees to the Leadership Club,³ only four or five were minorities, including only two African Americans, and only fifty-five were women. Of the five employees invited by plaintiff's supervisor, Todd Cipperman, all were Caucasian. Such evidence of the virtual exclusion of minorities from such important activities is clearly probative of SEI's pattern and practice of treating African American and female employees less favorably than it treats Caucasian and male employees.

Defendant's contention that the prejudicial nature of these lists outweighs their probative value is equally unavailing. While defendant correctly asserts that introduction of these lists will be prejudicial to defendants' position in that they demonstrate SEI's exclusion of minorities and female employees (as would any evidence tending to show SEI's pattern and practice of race and gender discrimination), such evidence is neither unfair nor will it mislead the jury. In Abrams v. Lightolier, supra., 50 F.3d at 1215, n. 12,

³Defendants' Exhibit I only contains the first five pages of the six page list.

the Court specifically rejected Lightolier's argument that the testimony was overly prejudicial because it "created the possibility that the jury would find against the employer on the basis of these accusations without finding that it had discriminated against Abrams. Id.

The lists of employees in SEI's Vision Task Force and invitees to SEI's Leadership Task Force are relevant to show SEI's pattern and practice of excluding minorities and female employees from leadership roles and from prestigious perquisites provided to Caucasian employees of the company. The probative value of those lists will not be substantially outweighed by the possible prejudice to the company. Therefore, SEI's request that those lists be precluded must be denied.

Evidence Relating To A Photograph Taken Of An Event E. Held During SEI's 2001 Leadership Club Trip Is **Probative Of A Corporate Culture Which Allows Race Discrimination To Be Tolerated**

Finally, defendants seek to exclude a photograph taken during a costume ball held at a former plantation in Natchez Mississippi during SEI's 2001 Leadership Club trip on the basis that the photograph and the event are not relevant to plaintiff's claims and that the probative value of that evidence is outweighed by the danger of unfair prejudice. The photograph in question shows that the attendees were dressed in Antebellum costumes, including a significant number of Confederate officer dress uniforms. It also shows that the attendees were being entertained by an all African American choir which was located on a balcony above and removed from the attendees and was singing gospel songs during the event. All of these circumstances demonstrate a blatant insensitivity to employees of African American decent and racial animus resulting from SEI policies.

In claiming that the photograph and other evidence regarding this event are not relevant to plaintiff's claims, defendants conveniently fail to note that the theme of the event was chosen by SEI's President, Al West, and his wife, and that, during the previous Leadership Club ball, at which attendees were told to dress as their favorite American, Mr. West chose to come dressed as Robert E. Lee.

More importantly, courts, including the United States Court of Appeals for the Third Circuit, have recognized that the president of an organization has significant influence on the attitudes of that organization. Roebuck v. Drexel University, 852 F.2d 715, 733 (3d Cir. 1988). Mr. West's choice of costume for the 1999 Leadership Club ball and his and his wife's choice of theme for the 2001 Leadership Club ball clearly evidences a corporate culture which at best tolerates and at worst fosters discrimination on the basis of race. Evidence of those choices, including the photograph of the 2001 ball which shows a significant number of employees dressed in Confederate officer dress uniforms and an all African American choir segregated on a balcony of a former southern plantation from the all-white attendees, is undisputably relevant as evidence of that corporate culture and the alleged pattern and practice of race discrimination at SEI.

While the photograph and the actions which it relates certainly does depict a corporate culture which tolerates or even fosters race discrimination, it is neither untruthful nor not make that evidence unfairly prejudicial as to require its preclusion. See, Abrams v. Lightolier, supra., 50 F.3d at 1215, n. 12.4

It is respectfully suggested that the proferred evidence regarding the 1999 and 2001 Leadership Club balls is, in fact, relevant to demonstrate SEI's corporate culture

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⁴Defendants state, but fail to explain, how the photograph would be "cumulative." Therefore, that contention should also be rejected.

as established by its President, and is probative of SEI's alleged pattern and practice of race discrimination. The probative value of that evidence is not substantially outweighed by any potential unfair substantial prejudice to defendants and therefore, defendants' request to have that evidence precluded must be denied.

IV. CONCLUSION

All of the evidence defendants seek to preclude is relevant to demonstrating either the disparate treatment to which plaintiff was subjected, or how that disparate treatment results from a pattern and practice of race and gender discrimination at SEI. The probative value of that evidence is not substantially outweighed by any substantial prejudice to defendants, therefore, it is respectfully suggested that defendants' Motion In Limine must be denied in its totality.

Respectfully submitted,

SPECTOR GADON & ROSEN, P.C.

By:

Alan B. Epstein, Esquire Jennifer L. Myers, Esquire

Attorneys for plaintiff

Seven Penn Center 1635 Market Street, 7th Floor Philadelphia, PA 19103 215-241-8888

Dated: June 23, 2003

CERTIFICATE OF SERVICE

I, Jennifer L. Myers, hereby certify that a true and correct copy of Memorandum of Law in Support of Plaintiff's Response to Defendants' Motion in Limine has been served via United States first-class mail, this 23rd day of June, 2003, upon the following:

> Michael Banks, Esquire Jennifer C. Bell, Esquire Morgan Lewis & Bockius, LLP 1701 Market Street Philadelphia, PA 19103-2921

> > JENNIFER L. MYERS, ESQUIRE

Dated: June 23, 2003

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EXHIBIT A

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ATTORNEY-CLIENT PRIVILEGED SEI Investments, Inc.

Compensation Analysis Detail
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Minority v NonMinority

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		NonMin Average	\$27,761.88	4/21/90	WILLIAM	MONZO	White	Z		LEAD MAINTENANCE MECHANIC



Hire Date First Name

New Race Sex EEO

460/40 460/40	460/40	,		420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	420/30	120,00	420/3/	420/30	420/30	420/30	j	420/30
Product Team Member Level 1 Product Team Member Level 1	Product Team Member Level 1			Operations Team Member Level 1	_																							_	Cheranous (seut Member Fevel 1	
NonMin NonMin NonMin NonMin Average	Min		NonMin Average	NonMin	NonMin	Zon	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	Non Min	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	NonMin	Min Average	Min	Min
\$40,425.00 \$35,000.00 \$43,000.00 \$39,000.00	\$40,425.00		\$41,969.42	\$69,160,00	\$68,640.00	\$54,000.00	\$48,000.00	\$47,250,00	\$45,760.00	\$44,000,00	\$43,680.00	\$41,200,00	\$41,000,00	\$40,000,00	\$39.750.00	\$39.520.00	\$39,140.00	\$39,000.00	\$37,800.00	\$37,280.00	\$36,240.00	\$36,200,00	\$35,366,00	\$33,000.00	\$31,200.00	\$30,000.00	\$30,000.00	\$35,000.00	\$40,000.00	\$30,000.00
7/31/95 9/1/97 5/28/98 1/13/98	7/31/95		12/30/95	3/31/97	5/4/81	7 9/8/5	4/10/03	5/10/07	9/19/94	3/28/08	4/21/07	5/28/97	5/16/94	7/20/06	3/13/95	3/3/97	10/20/97	4/24/07	7/14/97	200	12/23/96	1/27/07	7/15/96	3/16/98	7/28/97	1/12/98	3/2/98	1/26/98	2/17/98	1/5/98
ERIN WENDY	KINA		L	ROSE MADE	CARCACREINE	スクロガスー	D N C N C	クと言うにはなって	KATE TOWN	ANTEONY TREETA		CHARITA	ORAZ C	CHOA! D	ZADRY.			DAME! A		Zindero C	XARIX	NENOY .	ANTHONY	X7	ARDIS	ANDREW	JULIA		RONALD	HECTOR
BROOKS	GUYTON		מהראוס	GOLDBACH	ESPOSITO	PRICE	NAPOLITANO	BROWN	DIGIROLOMO	CCX	70,	FLANAGAN	KESZCZYK	CONNELL	プロロスとス	MIT CO	CNOVAN	OJARAU	12.1m2	מייים ארם	WICHAMSON		0000		SHADTINGUE TED	DRAHGH IS	SHAVER		THOMAS	
White	B	٠	White	₩hite	White	¥hte	White	White	¥nte	White	¥7Re	₩ to	White	White	White	Vhite	White	Vhite	White	∨Vnte	×>te	White	White	White	ANDRO	3754	S	DidCX	dair	<u> </u>
- מדור	ח		74	וד	ח	Z	ኟ	-N	Z	п	Z	Z	₹	31)	Z	- 7 1	- TR)	Z	т	71	מד	3	স	· 7	3	7	n	3	3	:
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SALES SUPPORT PROJECT MANAGER			BENEFITS SPECIALIST	SERVICE ASSOCIATE	MUTUAL FUND ADMINISTRATOR	SECURITIES ADMINISTRATOR	ADMINISTRATOR	TRUST ACCT ADMINISTRATOR	SECURITIES SPECIALIST	CLIENT ADMINISTRATOR	BENEFIT SPECIALIST	SECURITIES ADMINISTRATOR	SECURITIES SPECIALIST	MUTUAL FUND ANALYST	SECURITIES SPECIALIST	ADMINISTRATOR	SECURITIES ADMINISTRATOR	ADMINISTRATOR	SECURITIES SPECIALIST	SECURITIES ADMINISTRATOR	SECURITY ADMINISTRATOR	SECURITIES SPECIALIST	SECURITIES SPECIALIST	ADMINISTRATOR	SECURITIES SPECIALIST	SECONITIES SPECIALIST		CLIENT SERVICE ADMINISTRATOR	SECURITIES SPECIALIST	



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	Minority v NonVinority	Creveys Selectly and Fears at Company By Market/Group and Job Code

680/20 Operations Team Member Level	680/20 Operations Team Member Level 1 680/20 Operations Team Member Le	600/40 Operations Team Member Level
	Min	Min Average NonMin Average
77.00 4/13/92 GERTRUDE 95.00 3/24/97 ELIZABETH 30.00 10/2/96 CLARISSA 30.00 11/23/92 MARLENA 30.00 6/27/96 MELANIE 30.00 12/30/96 ERIC	5/18/98 6/19/96 6/19/96 6/19/96 6/19/96 6/19/97 6/1/20/96 6/19/97 6/1/20/96 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97 6/19/97	\$44,100.00 9/28/81 JAMES \$44,100.00 9/28/81 \$42,000.00 2/2/98 FAITH \$42,500.00 5/1/96 ROSARIC \$43,500.00 5/1/96 ROSARIC \$44,100.00 6/2/97 RALPH \$45,240.00 6/2/97 ANNE \$45,240.00 3/15/78 JOSEPH \$54,000.00 4/28/85 RICHARD \$67,800.00 7/28/97 JOSEPH \$54,000.00 7/28/97 JOSEPH \$54,417.50 3/11/91
- T M "	WINSTON MOSES JAMES THOMPSON ROLAND KING, JR NICOA WALLACE JERRY LEATH CYNTHIA BROWN ALISON HESS ERIC BLAKEMORE ROBERT GORMLEY BARBARA WING BARBARA TRIFELETTI MARISA TRIFELETTI MARISA RUPNIK JOAN TRIFELETTI MARISA RUPNIK JOAN RUPNIK PETER HOWARD JONATHAN BERNABEI JONATHAN BERNABEI JONATHAN BERNABEI MICHAEL HOWARD MICHAEL HOWARD WESOLOWSKY	JAMES SCOTT (II FAITH PALMER ROSARIO MAUGERI SAMUEL HESS RALPH STEELE HOGAN JOSEPH WEBER RICHARD EBBERT JR JOSEPH HORVATH
White P White P White P White P P White P P P P P P P P P P P P P P P P P P P	Black M Black M Black White White M M M M M M M M M M M M M M M M M M M	Black M White M
	2 SERVICE REPRESENTATIVE 2 BROKER/DEALER SUPT, REP, 2 INVESTOR SVCS. REPRESENTATIVE 2 SHAREHOLDER COMMINICATION LEAD 2 INFORMATION SUPPORT SPECIALIST 2 SUPERVISOR 2 SERVICE REPRESENTATIVE 2 SERVICE REPRESENTATIVE 2 INVESTOR SVCS. REPRESENTATIVE 2 INVESTOR SVCS. REPRESENTATIVE 2 INVESTOR SVCS. REPRESENTATIVE 2 SERVICE REPRESENTATIVE 2 SERVICE REPRESENTATIVE 2 SERVICE REPRESENTATIVE 3 SERVICE REPRESENTATIVE 4 SERVICE REPRESENTATIVE 5 SERVICE REPRESENTATIVE 5 SERVICE REPRESENTATIVE 6 SERVICE REPRESENTATIVE 7 INVESTOR SVCS. REPRESENTATIVE	3 SUPPORT CENTER ANALYST 3 SUPPORT CENTER ANALYST 3 SUPPORT CENTER ANALYST 3 SR. SECURITY ADMINISTRATOR 3 SUPPORT CENTER ANALYST 3 CLIENT SUPPORT ANALYST 3 SERVICE SPECIALIST 3 SUPPORT CENTER ANALYST 5 SR. TECHNICAL SPECIALIST



ATTORNEY-CLIENT PRIVILEGED SEI Investments, Inc.

Compensation Analysis Detail
Average Salary and Years at Company By Market/Group and Job Code
Minority v NonMinority

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l				third.	Manual A Montanione						
Market/Gro up	Gro Job Code	Min/NonMin	Base+Draw (Annual)	Hire Date	First Name	l act Nama		j		` ₹	
D D D D D D D D D D D D D D D D D D D						Alitani seen	Kace	Sex	C EEO	°	Old Position
680/20		NonMin	\$32,100.00	6/27/94	DINA	ROMEO	₹		s		
05/089		NonMin	\$32,774.00	8/14/95	CHRISTINE	CROMER	White	י ש	v,		STREBATIODS STREBATIONS
02/089		NonMin	\$33,328.00	9/22/97	KYLE	KORHURR	Vh#e				SCPRBYINGS (F)
880/20		NonMin	\$34,280.00	11/3/86	DEBORAH	GENTILINI	1	n Z			かごのののくはのこの (元)
080/20		NonMin	\$38,000.00	9/4/96	SHARON	AUGUSTREARE	V/740		4 C		
680/20		NonMin	\$41,685.00	6/14/93	KAREN	אַסאַיַ ח	YVDR9	1 T	N		SUPERVISOR
680/20		NonMin	\$43,990.00	2/14/94	PATRICIA	מת כל ה	VYDRE		N		SUPERVISOR
680/20		NonMin	\$48,000.00	4/34/05)	אחבר ז	White		N		OPERATIONS MANAGER
680/20		NonMin	9 to 300.00	7/40/04	00000	MOSES	White		N		MANAGER
680/20			10,000.00	1/0/01//	BRIDGET	ONEICH	¥h≵e		N		SUPERVISOR
680/20		Nonwin	\$48,000.00	12/4/95	JOHN	CRONIN	White		N		W SUPERVISOR
		DIMINON	\$80,000.00	4/21/97	WILLIAM	LYNN	¥∆ite		N i		BROKER/DEALER SURT BED
		NonMin Average	\$32,998.34	3/7/96				;			
750/10	O Product Team Member Level 1	Min Average	\$43,000,00	11/26/96	LAWRENCE	CHANG	Asian	<u> </u>	N	۶	ASSOCIATE
750/10	Product Team Member Level 1	NonMin	\$33,000,00	5/10/05	ב ב						
750/10		NonMin	\$35,000,00	7/28/97	ROPERT		White		(J)	. ≽	ASSOCIATE
750/10		NonMin	\$43,000.00	7/29/96	KARIN	PALLINA	ONCA A) N	· >	ASSOCIATE
750/10		NonMin	\$43,000.00	4/26/94	ROBERT	MC CARTHY	Σ/hite		, ,	₹ }	INVENTARIAT ANALYST TOADED
750/10	O Product Team Member Level 1	Nonkin	\$43,000.00	7/29/96	ERIC	HOERDEMANN	White		N I	<u> </u>	ASSOCIATE
750/10		NonMin	\$48,000.00	7/31/95	OH.	GARDNER	White		N	È.	UNDERGRADUATE NEW HIRE
750/10		NonMin	\$52,000,00	7/11/04	MARK	UERRACEX:	White		N	Ą	ASSOCIATE
750/10		NonMin	\$52,000.00	7/11/94	JAMES	MARTINE	WANA PART	3	אנ	> > 0	ASSOCIATE
750/10		NonMin	\$55,000.00	6/16/97	DAMIAN	HARRIS	×		, r	2 2	OD NAME OF THE PARTY OF
750/10		NonMin	\$71,550.00	3/2/92	KATHLEEN	OLDFIELD	Vhite.		N 1	10 (C	PRODUCT SPECIALIST
750/10	Product Team Member Level 1	NOTAL	\$76,550.00	4/20/92	TODD	WALKLETT	White		N	<u> </u>	NVESTMENT PRODUCT ANALYST
750/10		NonMin	\$78,250.00	8/10/01	CHRISTOPER	VELLA	White		N	AS	ASSOCIATE
-		NonMin Average	\$53,778.57	10/4/94		MC COR	White	3	N	S	SR. PRODUCT SUPPORT ANALYST
750/10	Product Team Member Level 2	Min	\$45,000.00	6/12/98	RAJAT	BABBAR	?		,	Ē	
750/10		Min Average	\$45,000.00	6/12/98		!	Ğ	ź		Ž	INVESTMENT ANALYST
750/10	Product Team Member Level 2	Non-Min	\$83,250.00	4/9/97	NHO	DELANEY	White	Z	N	SE	SENIOR INVESTMENT ANALYST
750/10		NonMin	\$08.500.00	2/4/90	MICHAEL	HOGAN .	White	Z	N	SR	SR. INVESTMENT ANALYST
750/10		NonMin	\$96,500,00	7/13/92	GREGORY	NTAE:	¥ Anito	: 3	N	n m	PERFORMANCE ANALYST
/50/10	Product Team Member Level 2	NonMin	\$96,500.00	8/10/92	PHILIP	WAGNER	White	Z 3	N K	ŽŽ	INVESTMENT PRODUCT ANALYST INVESTMENT PRODUCT MANAGER
										:	



750/10 Product Team Member Level 2

Job Code

Min/NonMin

Hire Date First Name

ANTONIO

TAN-TORRES Last Name

White M

2 INVESTMENT ANALYST

EEO New

Old Position

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Market/Gro up